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& LIONE

Date: January 27, 2016 Name: Carolyn Beason-Wright Signature: Carolyn Beason-Wright

In re Appln. of: **Peter Leonard Fraenkel**

Examiner: Unknown

Art Unit: 2834

For: HYDRAULIC SPEED-INCREASING
TRANSMISSION FOR WATER CURRENT
POWERED TURBINE

Attorney Docket No: 11226/008

**Mail Stop Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450**

TRANSMITTAL

Sir:

Attached is/are:

- ☒ INFORMATION DISCLOSURE STATEMENT
☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or surcharge in an amount of \$_____ under 37 C.F.R. § 1.16(e).
- ☐ An additional filing fee has been calculated as shown below:

						Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra		Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus				x \$25=			x \$50=	
Indep.		Minus				x 100=			x \$200=	
First Presentation of Multiple Dep. Claim						+\$180=			+\$360=	
						Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ to cover the above-identified fee(s) is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Date _____

A. James Richardson (Reg. No. 26,983)

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first-class mail in an envelope addressed to: Commissioner for Patents and Trademarks, P.O. Box 1450, Alexandria, VA 22313-1450

on January 27, 2006

Carolyn Beason-Wright
Carolyn Beason-Wright

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Peter Leonard Fraenkel

Appln. No.: 10/536,771

Filed: December 10, 2003

For: HYDRAULIC SPEED-INCREASING
TRANSMISSION FOR WATER CURRENT
POWERED TURBINE

Attorney Docket No: 11226/008

Examiner: Unknown

Art Unit: 2834

INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, Applicant hereby cites the reference(s) listed on the enclosed Form PTO/SB/08A. A copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2) is also enclosed. Applicant respectfully requests the Examiner's consideration of the reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicant has calculated no fee to be due upon filing this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the accompanying Transmittal.

Respectfully submitted,

1/27/06
Date


A. James Richardson (Reg. No. 26,983)

Please type a plus sign (+) inside this box → +

PTO/SB/08A (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	10/536,771
				Filing Date	December 10, 2003
				First Named Inventor	Peter Leonard Fraenkel
				Group Art Unit	2834
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	11226/008

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,383,182		Bowley	05/10/1983	
	2	4,498,017		Parkins	02/05/1985	
	3	4,850,190		Pitts	07/25/1989	
	4	5,324,988		Newman	06/28/1994	
	5	5,491,366		Newman	02/13/1996	
	6	6,091,161		Dehlsen et al.	07/18/2000	
	7	6,531,788	B2	Robson	03/11/2003	
	8	6,652,221	B1	Praenkel	11/25/2003	
	9	6,806,586	B2	Wobben	10/19/2004	
	10	6,955,049	B2	Krouse	10/18/2005	
	11	6,982,498	B2	Tharp	01/03/2006	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	12	DE	195 30 253	A1	Wanzke, Lothar	11/28/1996		Y

EXAMINER SIGNATURE	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.